U.S. Coast Guard Aquatic Nuisance Species Program Overview

> Richard A. Everett Environmental Standards Division U.S. Coast Guard September 13, 2006 Prevention First 2006

**Ballast Water Laws & Regulations** National Nat'l Invasive Species Act (NISA) 1996 Established National BWM program Timeline – USCG Regulations (outside GL) **1998 National Voluntary Program** 2001 Report to Congress: Voluntary program inadequate **2004 Penalties and National Mandatory Program 33 CFR 151 Subpart D** 



# Discharge Standard Rule In Progress

- Environmental and regulatory analyses of alternatives
  - Scientifically sound, environmentally protective, enforceable

Treatment system approval procedures
 Notice w/Request for comments - August 2004
 Conform to established USCG approval procedures
 Informed by ETV, STEP, IMO, Others



# **BWM Technologies**

- Need to address full range of organisms including
  - Bacteria/microbes
  - All life stages
  - Those that reproduce asexually
  - Those not dependant on oxygen
- Need to be effective under wide range of conditions
- Single technology unlikely

### **BWM Technology Categories**

#### Mechanical

- Filtration (screen, media\*)
- Separation (cyclonic, Ionic\*)
- Shear
- Chemical
  - Deoxygenation (pressure, N<sub>2</sub>, inert gas generators)
  - Oxidizing biocides (hypochlorite, ClO<sub>2</sub>, O3, AOT)
  - Non-oxidizing biocides (SeaKlean, gluteraldehyde\*, acrolein)

#### Energy

- Radiation (UV, heat, ultrasonic)
- Micro-Cavitation

## Ballast Water Treatment Systems Biological Efficacy

- Do they work, generally?
- Difficult to answer at this point
  - No clear and comprehensive test reports available
    - System descriptions
    - Installation descriptions
    - Experimental design
    - Analytical methods
    - $\blacksquare QA/QC$
- Available data look promising with respect to IMO BW discharge standard
- No clear picture possible until implementation of standardized tests

## Approving BWM Systems

#### Type Approval of systems

- Rigorous land-based testing
- Shipboard qualification
- Consistent with existing USCG procedures and BWM Convention
- Requires development of standard test protocols
  - Efficacy in killing/removing wide range of organisms (bacteria – fish)
  - Under wide range of water quality conditions (blue water turbid industrial estuaries)
  - Under wide range of operating conditions (temp, humidity, sea state)

# EPA Environmental Technology Verification Program

#### Performance Verification of Ballast Water Treatment Technologies







United States Coast Guard

#### Battelle

Coastal Resource and Environmental Management

#### **ETV Tech Panel**



## Simplified Sampling Arrangements In-Line Treatment





Center for Corrosion Science & Engineering Naval Research Laboratory Key West, FL



# **Test Facility Components**



### Automation, Control & Instrumentation



#### Instrumentation



Test Ballast Tank Area (BT)





#### Instrumentation

- Over 100 instrumented sensors for monitoring physiochemical properties at various locations.
  - **Flow rate**
  - Pressure & Differential Pressure
  - **Temperature**
  - Dissolved Oxygen
  - Turbidity (NTU)
  - **p**H
  - Particle Counts & Distribution
- 96 manual, pneumatic and electrically actuated valves:
  - Flow rate control
  - Isolation & Flow distribution
  - **Sample acquisition**
  - Each manual valve is wired with a magneto-sensor for open/closed information and supervisory monitoring (QA/QC purposes)
- Sample acquisition ports pre-BWT, post-BWT, post-tank
  - Organisms
  - Chlorophyll, POC, DOC, CHNP

# **Biological Laboratory**







#### Methods: Particle Counting

- Laser Obfuscation Particle Counter
- Calibrated by Manufacturer and On-site with latex beads.
- ISO 12103-1, A3 MEDIUM TEST DUST
- ISO 12103-1, A4 COARSE TEST DUST







# **Test Organism Injection**







## **Pipeline Sampling**





## Sample Collection:



# Standard (Surrogate) Test Organisms

- Parallel ETV effort
- Suite of candidates identified by Tech Panel
  - Bacteria
  - Autotrophic protists (single-cell algae)
  - Heterotrophic protists (amoebe w/spores, flagellates)
  - Multicellular plankton (larvae, adults, resistant stages)
- "Cook-off" to identify subset that might best challenge BWT systems (in-progress)
- Other issues also important in determining utility as surrogates
  - Availability, cost, detection/viability, tolerance of test facility, etc.

#### The ETV Surrogate-species Team

Don Anderson (phytoplankton ecologist, Woods Hole Oceanographic Institution)

Jeffery Cordell and Adelaide Rhodes (zooplankton ecologists, University of Washington)

Fred Dobbs (project manager, Old Dominion University)

**Russell Herwig (bacteriologist, University of Washington)** 

Andrew Rogerson (protozoologist, NOVA Southeastern University)

	Functional Group	Fresh Water	Marine Water
	Bacteria	Same as marine water.	<i>Geobacillus stearothermophilus Clostridium perfringens Enterococcus avium Vibrio cholerae</i>
Surrogate Species List	Heterotrophic Protists	<i>Acanthamoeba</i> sp. <i>Tetrahymena pyriformis</i> <i>Vanella anglica</i> <i>Vannella platypodia</i>	<i>Acanthamoeba</i> sp. <i>Paraphysomonas imperforata</i> <i>Paraphysomonas vestita</i> <i>Uronema marinum</i>
	Phytoplankton	<i>Fragilaria crotensis Peridinium cinctum Prymnesium parvum Microcystis aeruginosa</i>	<i>Chlorella</i> sp. <i>Chaetoceros</i> sp. <i>Skeletonema costatum</i> <i>Scrippsiella lachrymosa</i> <i>Scrippsiella trochoidea</i> <i>Fragilaria pinnata</i>
	Zooplankton	Daphnia pulex Daphnia magna Brachionus calyciflorus Moina sp. Sinocalanus doerri Culex (insect larvae)	<i>Eurytemora affinis Tisbe</i> cf. <i>furcata Artemia salina</i> Mussel and/or oyster larvae

#### Treatment Stressors and Experimental Conditions

Treatment stressor	Vendor or source	Concentration or Intensity	Exposure time	References
Thermal treatment	Water bath	35°, 40°, 45°, 50° C	4 h	Hallegraeff et al. 1997; Rigby et al. 1999
Chlorine (hypochlorite)	Chlorox bleach	Aqueous solution of sodium hypochlorite. Final concs. of 0.25, 0.5, 1.0, 2.0 mg/L	24 h	Sano et al. 2004; Bolch and Hallegraeff 1993
Chlorine dioxide	Ecochlor, Inc.	Final concs. of 1, 2, 4, 6 ppm	24 h	T. Perlich, Echochlor, Inc. (pers. comm., 19 & 20 Oct. 2004)
Glutaraldehyde	Fisher Scientific	Final concs. of 50, 100, 500 and 1000 mg/L	24 h	Sano et al. 2003
UV light	UV collimator designed and built by Dr. E. "Chip" Blatchley, Purdue University	UV light (256 nm) at 10, 25, 50, 100 mJ/cm <sup>2</sup>	Dose is independent of exposure time between approx. 30 sec. to 2 min.	Azanza et al. 2001; Montani et al. 1995; Sutherland et al. 2001; Sutherland et al. 2003
Ozone	Aquatic Eco- Systems, UV- type, 2.4 g with air. Connected to tubing and an air stone.	Total initial residual oxidant (TRO) level of 0.25, 0.5, 1.0, and 2.0 mg Br <sub>2</sub> /L.	24 h after achieving initial level of TRO	Hoigné 1998; Langlais et al. 1991; Cooper et al. 2002
Hydrogen peroxide	Fisher Scientific	Final concs. of 0.5, 1, 10 and 20 ppm	24 h after achieving intial concentration	Kuzirian et al. 2001
Deoxygenation	Sparge with $N_2$ (95%) and $CO_2$ (5%) mixture, at levels to reduce pH to 5.5, then seal container.	Anoxia (0 mg/L oxygen)	12, 24 , 48, 72 h	Tamburri et al. 2002; P. D. McNulty, NEI Treatment Systems, Inc. (pers. comm., 27 Oct. 2004)
SeaKleen®	Vitamar, Inc.	0.25, 0.5, 1.0, 2.0 mg/L active ingredient	24 h	Cutler et al. 2003; Sano et al. 2004
PeraClean Ocean™	Degussa AG	Final concs. of 50, 100, 200 and 400 ppm	24 h	http://dmses.dot.gov/docim ages/pdf81/175321_web.pd f

## Analysis

The response variable: percent mortality relative to controls.

Compare % mortality among species/stressor combinations: identify redundancies.

Reduce number of species

### Nota Bene

• Experiments are intended to guide selection of the most appropriate organism(s) for testing.

• The selected species will not necessarily be the most resistant species or life stage, since other factors must be considered:

commercial availability

ease of growth and viability assessment

susceptibility/resistance) to stressors

 Several surrogates will likely be needed provide a composite assessment

#### Critical need for new analytical methods The expert at the 'scope is neither sustainable nor desirable



Standardization Fatigue Cost Time

## **Automation of Sample Analysis**

#### FlowCAM by Fluid Imaging Technologies Inc.

- 1 um to 3 mm optical flow cytometer
- In-house Image Analysis
  - Larger plankton
- **For both:** 
  - Incorporation of image categorization
  - Incorporation of dyes for live/dead analysis
  - Possible incorporation of 2<sup>nd</sup> camera for 2 x 2D imaging
  - Plan to complete by end of FY06





## Dinoflagellate Cyst Project

Attempt to "scale up" cyst production
Determine the "maturation interval"
Develop a method for long-term storage
Develop a rapid and accurate protocol for viability



Attempt to "scale up" cyst production of the most promising surrogate species

■ 635,000 liters (700 tons) in ETV facility

Target concentration of 10 cysts/liter of challenge water
 Assume a concentration step (~1000x) prior to viability testing
 =>6.35 x 10<sup>6</sup> total cysts needed for a test

At current concentration capability we need at least 63.5 liters of culture. (Hard)

If we can produce 1000 cysts per ml of culture, this would only require 6.35 liters of culture. (Easy)

#### Develop a rapid and accurate protocol for assessing cyst viability



Presently, individual cysts are isolated and monitored for germination. <u>Problems:</u>

- Very tedious and time consuming
- Variable germination rates for cysts isolated from the same lot on different dates
- Low % of easily observable progeny
- ==> Assess use of viability probes
  - Flow cytometry
  - Fluorescence microscopy

#### "Solving for the Pieces of the Pie"\*

Validation of BWT testing methods has not been done anywhere in the world at full-scale, in a standardized format and with statistical rigor.

 There are fundamental questions and challenges regarding "HOW-TO" perform the testing



\* Ted (can I mix you up a metaphor?) Lemieux



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## Things to Consider

#### The test results must be

- Rigorous
- perhaps legally defensible
- Analytical biological tools are paramount for economically feasible evaluations of treatment technologies.
  - Production time scales
  - Large quantities
  - High statistical confidence and rigor
  - High quality assurance
- Surrogate identification & optimization work required.
- Mirror or comparable test sites (considerable effort) are desirable and likely necessary for reliable and consistent testing in the future.

## Things to Consider

#### WHO WILL BE QUALIFIED TO PERFORM THESE TESTS?

WHAT TESTING STANDARDS WILL THEY OPERATE TO?

HOW DO WE INSURE CONSISTENCY & RELIABILITY AMONGST TEST FACILITIES, DOMESTICALLY & INTERNATIONALLY?