REQUEST FOR PREQUALIFICATIONS

November 14, 2006

Bid Log Number: 2006-12
Title: Architectural and Engineering Consultants

PROSPECTIVE ARCHITECTURAL AND ENGINEERING CONSULTANTS

The California State Lands Commission (CSLC) is implementing a qualifications-based system for selecting architectural and engineering consultants. This process is required for such contracted services pursuant to Government Code Section 4526. This announcement is a solicitation for Pre-qualifications of prospective architectural and engineering consultants for the purpose of establishing a list of pre-qualified contractors.

Small Business firms, as defined by the Director of General Services, pursuant of Section 14837 of the Government Code and Section 1896, Title 2 of the California Code of Regulations, are encouraged to submit questionnaire.

A. Requirements for Submittal Documents

1. Firms who are interested in providing professional services for this contracting opportunity are asked to submit the attached questionnaire and any additional information that demonstrates the quality of work of your firm including photographs or sketches of projects, published materials, or awards to the following address:

   California State Lands Commission
   100 Howe Avenue, Suite 100 South
   Sacramento, CA 95825
   Attention: Annabell Abeleda

2. All documents submitted in response to this RFQ become the property of the Commission and may be returned only at the bidder’s expense. Firms wishing to have the submittal materials returned after the selection process should include a self-addressed stamped envelope.

3. Evaluations will be available for public review at the conclusion of the selection process.

B. General Information

If you have questions regarding this information, please contact Annabell Abeleda at 916.574.1871 or by e-mail abeleda@slc.ca.gov

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Purpose:
The purpose of these regulations is to establish, as authorized and required by Government Code sections 4526, et seq., procedures for securing architectural, engineering and related professions to submit annually a statement of qualifications and performance data. This form is provided for that purpose. Interested architectural and engineering firms including small businesses are encouraged to complete and file this form with the requesting agency for which they are qualified to perform services.

All prospective contractors who return this questionnaire may be used as a basis for selecting firms for discussions, or for screening firms preliminary to inviting submissions of additional information. Additional consultants may be added to the list at any time, upon request and submission of this questionnaire.

The Commission will advertise specific projects as they occur, and will utilize the pre-qualified list and submitted questionnaire in response to a specific project to evaluate relative qualifications of prospective contractors for the project at hand. Additional information from pre-qualified contractors may be required relative to specific projects. The Commission will then negotiate with prospective contractors in order of their ranking.

Contracts will be negotiated and awarded by the Commission based upon demonstrated competence and on professional qualifications necessary for satisfactory performance of the services required, pursuant to regulations. The Commission makes no guarantee that any prospective contractor on its list will be awarded a contract.

Definitions:

(a) “Architectural, landscape architectural, engineering”, includes those professional services of an architectural, landscape architectural, engineering nature as well as incidental services those members of these professions and those in their employ may logically and justifiably perform.

(b) “Construction project management” means those services provided by, or under the direction of, a licensed architect, registered engineers, or licensed general contractor, or relevant firm which meet the requirements of Government Code Section 4520.5 for management and supervision of work performed on state construction projects.

(c) “Firm” means any individual, firm, partnership, corporation, association, or other legal entity permitted by law to practice the profession of architecture, landscape architecture, engineering or construction project management.

(d) “Parent Company” is that firm, company, corporation, association or conglomerate which is the major stockholder or highest tier owner of the firm completing this questionnaire.

(e) “Principal” are those individuals in a firm who possess legal responsibility for its management. They may be owners, partners, corporate officers, associates, and administrators.

(f) “Discipline” as used in this questionnaire, refers to the primary technological capability of individuals in the responding firm. Possession of an academic degree, professional registration, certification, or extensive experience in a particular field of practice normally reflects an individual’s primary technical discipline.

(g) “Consultant” as used in this questionnaire is a highly specialized individual or firm having significant input and responsibility for certain aspects of a project and possessing unusual or unique capabilities for assuring success of the finished work.

(h) “Prime Consultant” refers to a firm that coordinates the concerted and complementary inputs of several firms, individuals or related services to produce a completed study or facility. The “prime consultant” would normally be regarded as having full responsibility and liability for quality of performance by itself as well as by subcontractor professionals under its jurisdiction.

(i) “Joint Venture” is a collaborative undertaking by two or more firms or individuals for which the participants are both jointly and individually responsible.

(j) “Subcontractor” refers to a firm that assumes by secondary contract some or all of the obligations of a prime consultant.

(k) “Small Business” firm is one that meets the definition of small business firm set forth in Title 2, California Code of Regulations, section 1896(n).

(l) “Commission” is the State Lands Commission.

(m) “Executive Officer” is the Executive Officer of the State Lands Commission or any person designated by the Executive Officer to act on behalf of the Executive Officer.

Instructions for Filing (Numbers below correspond to numbers contained in this form):

1. Type accurate and complete name of submitting firm
2. Address and zip code of submitting firm
3. Indicate whether firm is being submitted in behalf of a parent firm or a branch office. (Branch office submissions should list only personnel in, and experience of that office.)
4. Provide data the firm was established under the name shown in question 1.
5. Show date on which form is prepared. All information submitted shall be current and accurate as of this date.

Ranges of Professional Services Fees

INDEX NUMBER
1. Less than $100,000
2. $100,000 to $250,000
3. $250,000 to $500,000
4. $500,000 to $1 million

INDEX NUMBER
5. $1 million to $2 million
6. $2 million to $5 million
7. $5 million to $10 million
8. $10 million or greater

10. Beginning with the submitting office, list name, location, total number of personnel, and telephone numbers for all associated or branch offices, which provides architectural and engineering and related services.

11. Show total personnel in all offices. (Should be sum of all personnel, all branches.)

12. Total number of employees, by discipline, in submitting office. (*If form is being submitted by main headquarters office, firm should list total employees, by discipline, in all offices.) While some personnel may be qualified in several disciplines, each person should be counted only once in accord with his or her primary function. Include clerical personnel as “administrative.” Write additional disciplines not categorized and number of people in blank spaces (sociologist, biologist, etc.).

13. Using chart below, insert appropriate index number to indicate range of professional service fees received by submitting firm each calendar year for last five years, starting with the most recent year first. Fee summaries should be broken down to reflect the fees received each year as:

(a) Prime Consultant
(b) Joint Venture
(c) Sub to other Professionals

14. Select and enter, in numerical sequence, not more than thirty (30) “Experience Profile Code” numbers from the next page listing, which most accurately reflect submitting firm’s demonstrated technical capabilities and experience. (Some profile codes may be part of other services or projects...
contained on the list; firms are encouraged to select profile codes which best indicate type and scope of services provided on past projects.) For each code number, show total number of projects and gross fees (in thousands) received for profile projects performed by firm during past few years. If firm have one or more capabilities not included on the “Experience Profile Code” numbers, insert it in blank spaces provided at end of that list, starting with code “201” (see next page) and show code numbers on the form.

15. Use “Experience Profile Code” numbers in the same sequence as entered in item 14, give details starting with the most recent one first (within the last five years), project name and location for each code number, up to a maximum of thirty (30) separate projects, or portions of projects, for which firm was responsible. After each code number, in the next column show:

P = Prime Consultant
JV = Joint Venture
SUB = Sub to other Professionals

16. This form should be signed by a principal of the firm.

Experience Profile Code Numbers for use with questions 14 and 15

001 Acoustics, Noise Abatement
002 Aerial Photogrammetry
003 Agricultural Development; Farm Mechanization
004 Air Pollution Control
005 Airports; Navaids; Airport Lighting; Aircraft Fueling
006 Auditoriums and Theatres
007 Automation; Controls; Instrumentation
010 Bridges
011 Chemical Processing and Storage
012 Codes; Standards; Ordinances
013 Cold Storage; Refrigeration; Fast Freeze
014 Commercial Building (low rise); Shopping Centers
015 Communications Systems; TV Microwave
016 Computer Facilities; Computer Service
017 Conservation and Resource Management
018 Construction Management
019 Corrosion Control; Cathodic Protection; Electrolysis
020 Cost Estimating
021 Dams (Concrete; Arch)
022 Dams (Earth; Rock); Dikes; Levees
023 Desalination (Process and Facilities)
024 Ecological and Archeological Investigations
025 Educational Facilities; Classrooms
026 Electronics
027 Elevators; Escalators; People-Mover
028 Energy Conservation; New Energy Sources
029 Environmental Impact Studies, Assessments, or Statements
030 Fisheries; Fish Ladders
031 Forestry and Forest Products
032 Graphic Design
033 Harbors; Jetties; Piers; Ship Terminal Facilities
034 Heating; Ventilating; Air Conditioning
035 High-rise; Air-Right-Type Buildings
036 Highways; Street; Airfield Paving; Parking Lots
037 Historical Preservation
038 Hotels; Models
039 Hydraulics and Pneumatics
040 Industrial Buildings; Manufacturing Plants
041 Industrial Processes; Quality Control
042 Industrial Waste Treatment
043 Irrigation; Drainage
044 Landscape Architecture
045 Metallurgy
046 Microclimatology; Tropical Engineering
047 Mining and Mineralogy
048 Oceanographic Engineering
049 Office Buildings; Industrial Parks
050 Off-shore Platforms
051 Petroleum Exploration
052 Petroleum and Fuel (Storage and Distribution)
053 Pipelines (Cross Country – Liquid and Gas)
054 Planning (Community, Regional, Area wide and State)
055 Planning (Site, Installation, and Project)
056 Plumbing and Piping Design
057 Pneumatic Structures; Air-Support Buildings
058 Power Generation; Transmission; Distribution
059 Prison and Correctional Facilities
060 Product; Machine and Equipment Design
061 Railroad; Rapid Transit
062 Recreational Facilities (Parks; Marinas, etc.)
063 Resources Recovery; Recycling
064 Radio Frequency Systems and Shielings
065 Rivers; Canal; Waterways; Flood Control
066 Safety Engineering; Accident Studies; OSHA Studies
067 Security Systems; Intruder and Smoke Detection
068 Seismic Designs and Studies
069 Sewage Collection; Treatment; Disposal
070 Soils and Geologic Studies; Foundations
071 Solar Energy Utilization
072 Solid Wastes; Incineration; Landfill
073 Special Environments; Clean Rooms, etc.
074 Structural Design; Special Structures
075 Surveying; Plating; Mapping; Flood Plain Studies
076 Storm Water Handling and Facilities
077 Telephone Systems (Rural; Mobile; Intercom etc.)
078 Testing and Inspection Services
079 Traffic and Transportation Engineering
080 Towers (Self-supporting and Guyed Systems)
081 Tunnels and Subways
082 Urban Renewals; Community Development
083 Utilities (Gas and Steam)
084 Value Analysis; Life-cycle Costing
085 Water Resources; Hydrology; Ground Water
086 Water Supply; Treatment and Distribution
087 Wind Tunnels; Research/Testing Facilities Design
088 Zoning; Land Use Studies
201 ______________________________
202 ______________________________
203 ______________________________
204 ______________________________
205 ______________________________
206 ______________________________
207 ______________________________
208 ______________________________
209 ______________________________
210 ______________________________
ARCHITECTURAL-ENGINEERING CONSULTANTS AND RELATED SERVICES QUESTIONNAIRE

SLC FORM 2000 (REV. 10/00)

1. FIRM NAME

2. ADDRESS AND ZIP CODE

3. SUBMITTAL IS FOR

4. YEAR PRESENT FIRM ESTABLISHED

5. DATE PREPARED

6. SPECIFY TYPE OF OWNERSHIP AND CHECK BELOW, IF APPLICABLE

   □ Small Business
   □ Disabled Veterans Enterprise

7. NAME OF PARENT COMPANY, IF ANY

8. FORMER PARENT COMPANY NAME

9. NAME OF PRINCIPAL TO CONTACT (TITLE/TELEPHONE NUMBER)

10. PRESENT OFFICES (CITY / STATE / TELEPHONE NUMBER / NUMBER OF PERSONNEL FROM EACH OFFICE)

11. TOTAL PERSONNEL

12. PERSONNEL BY DISCIPLINE (LIST EACH PERSON ONLY ONCE, BY PRIMARY FUNCTION):

   _____ Administrative
   _____ Architects
   _____ Chemical Engineers
   _____ Construction Inspectors
   _____ Construction Project Management
   _____ Draftsmen
   _____ Ecologists

   _____ Economists
   _____ Electrical Engineers
   _____ Estimators
   _____ Geologists
   _____ Interior Designers
   _____ Landscape Architects

   _____ Mining Engineers
   _____ Oceanographers
   _____ Planners: Urban/Regional
   _____ Sanitary Engineers
   _____ Soils Engineers
   _____ Specification Writers

   _____ Transportation Engineers
   _____ Geologists
   _____ Mechanical Engineers
   _____ Surveyors

13. SUMMARY OF PROFESSIONAL SERVICES FEES RECEIVED (INSERT INDEX NUMBER)

<table>
<thead>
<tr>
<th>DESCRIPTION OF SERVICE</th>
<th>LAST 5 YEARS (MOST RECENT YEAR FIRST)</th>
<th>RANGES OF PROFESSIONAL SERVICES FEES RECEIVED</th>
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<tbody>
<tr>
<td>Prime Consultant</td>
<td>20 [00] 19 [0] 19 [0] 19 [0] 19 [0]</td>
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<td>Joint Venture</td>
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<td>Profile Code</td>
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<th>Profile Code</th>
<th>Worked Perform</th>
<th>Project Name and Location</th>
<th>Owner’s Name and Address</th>
<th>Cost of Work</th>
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16. THE FOREGOING IS A STATEMENT OF FACTS

Signature  
Print Name  
Title  
Date Signed